COCKPI-T® Funding: Frequently Asked Question

About COCKPI-T® Funding

Q1. What is COCKPI-T® Funding?

COCKPI-T® (CO-Create Knowledge for Pharma Innovation with Takeda) is one of Takeda's flagship open grant competition programs. COCKPI-T® was initiated in Japan in 2015, followed by a launch in Australia in 2018 and EU/UK in 2020. COCKPI-T® Funding provides successful research applicants with a grant and feedback from Takeda researchers with extensive drug development expertise. Takeda expects to identify innovative therapeutic targets/concepts, as well as emerging technological platforms, and develop early POC (Proof of Concept) with the winners through COCKPI-T® Funding research.

Q2. Please let me know the purpose of COCKPI-T® Funding.

The purposes of COCKPI-T® Funding are summarized as follows.
- To support concept validation studies on of innovative therapeutic concepts, novel therapeutic targets and emerging technological platforms originated from external researchers.
- To leverage COCKPI-T® Funding research results to accelerate Takeda's R&D to explore novel therapeutic solutions and build a solid network with external innovators.
- To contribute to the broader scientific community through publication of the COCKPI-T® Funding results under the initiative of COCKPI-T® Funding winners (Principal Investigators).

Q3: What is the COCKPI-T® Funding process after selection like?

Takeda will provide each Principal Investigator's Institution with the agreed amount of grant so that the Principal Investigator is able to conduct the proposed research based on the Research Plan agreed between Principal Investigator's institution and Takeda at contract execution. During the term of COCKPI-T® Funding research, Principal Investigator and Takeda have review meetings on a quarterly basis to regularly align on the latest status of research. While prior consultation is needed, Takeda encourages the publication of COCKPI-T® Funding results and ensures that Principal Investigator or his/her Institution will have the right to file a patent application.

Q4. What is a "concept validation study"?

"Concept validation study" refers to a study aiming to ensure the validity of a novel therapeutic concept and to assess the feasibility of its application to drug development.

Proposal

Q5. Who is qualified to apply for a COCKPI-T® Funding grant?

Any researchers in the field of life science in universities, institutes, or biotech companies located in Japan, South Korea, Taiwan, Singapore, Australia, and New Zealand are eligible.

Q6. Can a student apply for COCKPI-T® Funding?

No. Students interested in COCKPI-T® Funding can apply with his/her supervisor.

Q7. Can I submit multiple applications?

Yes. You may submit as many proposals as you wish. But please refer to the information on Takeda's Areas of Interest to check if your proposals are within scope of this year's COCKPI-T® Funding campaign.

Q8. Which language is preferred for the application?

Applicants should be able to speak English or Japanese. The Proposal Sheet should be written in English.

Q9. Can the Proposal contain figures and tables?

Yes. Please insert all figures and tables in the Proposal Sheet as you see fit. Please do not send them separately from your Proposal.

Q10. How detailed should the Proposal be?

We are expecting a concise, but sufficiently detailed, description of your rationale, specific aims and research plan. Please do not include any confidential data such as pre-published results and

pre-applied patents in the initial proposal.

Q11. Does the Proposal Sheet for COCKPI-T® Funding have word count limits and/or size limits for the Proposal?

The total length of a Proposal Sheet should be kept below four pages, with file size of 5MB (megabytes) or smaller.

Q12. Is the proposal acceptable even though an application with the same proposal has already been submitted to another private grant program similar to COCKPI-T® Funding?

Yes. However, we may decide not to fund the proposal if the applicant accepts an award from another private grant program.

Q13. Can I receive grants from both government and COCKPI-T® Funding on a similar or overlapping subject?

You are eligible to receive COCKPI-T® Funding as long as the governmental grant does not infringe on its terms and conditions. Please consult with relevant experts in your institution for advice in case you require clarification.

Q14. My project likely goes longer than one year. Can I still apply?

If the duration of your entire project is expected to be longer than one year, you can consider applying for COCKPI-T Funding for a specific portion of your project that can be completed within one year.

Q15. Do you have any fixed number for awardees in each category?

No. We are flexible with the number of awardees and strive to fund as many top-quality proposals as possible.

Q16. I cannot download the Proposal Sheet.

Please contact COCKPI-T® Funding Office (COCKPI-T@takeda.com) at Takeda. The Proposal Sheet template file (MS-Word format) will be sent by e-mail.

Q17. Is my personal information handled in the strictest confidence?

Yes. We use your personal information solely for the purpose of assessment in relation to COCKPI-T® Funding and/or consideration for potential research collaboration with Takeda and/or Takeda's affiliates, strictly in compliance with related laws and regulations.

Fund

Q18. How will the amount of funding be determined?

Takeda will decide the amount of funding based on submitted Research Plan.

Q19. Can I use the COCKPI-T® Funding grant for personnel expenses?

Yes. You can use COCKPI-T® Funding grant for personnel expenses such as hiring of post-doctoral researchers pertaining to the COCKPI-T® Funding project, in cases where your institution is unable to cover such costs.

Q20. Can I use the COCKPI-T® Funding grant for purchase of equipment?

Yes, although this requires prior consent from Takeda. In certain circumstances, Takeda may propose leasing of such equipment or to seek alternative options, to comply with internal regulations.

Q21. Can I use the COCKPI-T® Funding grant for contract research by a third party?

Yes. Please be sure to secure consent from Takeda before project kickoff.

Q22. Do you require the submission of an expense summary upon completion of COCKPI-T® Funding research?

Yes. Submission of such report is expected to take place within 30 days of project closure.

Q23: Do you provide overhead/indirect costs?

COCKPI-T® Funding covers both direct and indirect costs. While lower overhead cost, which allows Principal Investigator to spend a larger portion of the funding on research, is preferable, we

are open to discuss the size of overhead cost during the contract negotiation phase.

Selection

Q24. What are the key criteria in selecting successful proposals?

We select COCKPI-T® Funding winners based on the eight criteria shown below.

- Novelty and originality
- Potential impact or benefit on human health
- Feasibility of the Research Plan with reasonable cost and timelines
- Probability of collaboration with Takeda beyond COCKPI-T® Funding research
- Alignment with Takeda research strategies
- Low risk of conflict with Takeda's internal projects
- Potential to expand Takeda's network with early innovators
- Commitment/enthusiasms of the Principal Investigator

Q25. Who reviews the Proposal Sheets?

A restricted number of researchers in Takeda (Japan) and/or its affiliates (US) review the proposal.

Q26. How long does it take before decisions are rendered?

The total selection process (from submission deadline to funding decision announcement) is expected to take approximately three months. The cycle is as follows:

- Proposal Sheets are submitted on or prior to submission deadline.
- Subset of applicants are selected for interview with Takeda (1st selection). All other proposals are declined and notified via e-mail.
- Takeda conducts interviews with the shortlisted applicants to make final decisions (2nd selection).

Q27. Is feedback available for a declined Proposal?

Yes. COCKPI-T® Funding Office will provide each applicant with a short comment on the proposal/decision.

Q28. How many proposals will be selected?

Since the conditions differ depending on the year, the detailed number is not shown, but the success rate is around 10%.

Q29. My proposal was declined. Can I re-apply at the next round of COCKPI-T® Funding campaign?

An applicant is welcome to re-apply for the next round of funding. It is recommended that you update the proposal with any new findings and incorporate relevant feedback from Takeda.

Research

Q30. Can COCKPI-T® Funding be transferred from one institution to another?

No. As the contract for COCKPI-T® Funding is concluded between Takeda and the institution with which Principal Investigator is affiliated at the time of funding decision announcement, COCKPI-T® Funding research will be terminated once Principal Investigator moves to a different institution.

Q31. Can COCKPI-T® Funding research term be extended for an additional six months?

Please consult with Takeda in case you wish to extend the research term, so that Takeda can assess if such extension is reasonable. Please be aware that no additional funding will be provided for such an extension.

Q32. Can I change the Research Plan in the middle of COCKPI-T® Funding research?

Please consult with Takeda experts immediately so that Takeda can assess if changing the research plan agreed upon at the time of contract execution is fully supported by valid reasons.

Q33. Do I have to hold the meetings with Takeda on a quarterly basis during the COCKPI-T® Funding term?

Yes. Takeda believes that close communication between Principal Investigator and Takeda experts is essential, regardless of the outcome of research. Takeda experts will be kept updated on any research progress, and provide necessary input the results to steer the project toward the most reasonable direction.

Basic Terms and Conditions

Q34: Do I have any obligation to Takeda after being awarded the COCKPI-T® Funding grant?

Yes, you are obligated to follow the terms and conditions of the agreement which will be executed between your institution and Takeda. Please be aware that you may not be able to apply for certain grants (especially privately funded grants) in case there is a conflict with the terms and conditions of COCKPI-T® Funding.

Q35. How do you handle intellectual property generated from COCKPI-T® Funding research?

All research results generated in the course of COCKPI-T® Funding belong to the institution to which Principal Investigator is affiliated with or Principal Investigator, in cases where there is no Takeda contribution to project execution. However if Takeda is deemed to have significantly contributed to project execution, the ownership of such intellectual property will be determined based on the inventorship and has to be discussed with Takeda.

Q36. I would like to know the publication policy of COCKPI-T® Funding.

You can publish your COCKPI-T® Funding research results with a minimum of 30 days prior written notice to Takeda. However, Takeda may request you to delete any portion containing confidential information of Takeda in writing within 15 days after receipt of such notification, if deemed necessary.

Others

Q37. I would like to conduct collaborative research with Takeda in an area which is out of COCKPI-T® Funding Areas of Interest but should be within Takeda's general R&D scope.

Please reach out to COCKPI-T® Funding Office (COCKPI-T@takeda.com) for consultation with a proposal containing non-confidential information. We will consider the possibility of collaborative research based on internal review of your proposal.

Q38. I would like to license my patent, in which Takeda seems to be interested, to Takeda.

As with the answer in the **Q37** above, please contact COCKPI-T® Funding Office (COCKPI-T@takeda.com) with non-confidential information.

Q39. I am a scientist affiliated with an organization outside of the current target campaign jurisdictions (Japan, South Korea, Taiwan, Singapore, Australia, and New Zealand). Can I apply to COCKPI-T® Funding?

Unfortunately no.

Q40: What if I have any further question?

If you have any other questions that are not answered in the FAQs, please e-mail COCKPI-T® Funding Office at COCKPI-T@takeda.com. Your questions will be given prompt attention by a member of the COCKPI-T® Funding Office.

Please understand that Takeda cannot enter into correspondence with an applicant regarding specific details of his/her application.